

## 0.1 Topological Groups

**Definition 1.** A set  $G$  is a *topological group* if  $G$  is a group,  $G$  is a topological space, and the group operations in  $G$  are continuous in the topological space  $G$ , i.e.  $(a, b) \mapsto ab : G \times G \rightarrow G$  and  $a \mapsto a^{-1} : G \rightarrow G$  are continuous maps.

**Definition 2.** A topological group is *locally compact* if there exists a compact neighborhood about each point. Examples are  $\mathbf{Q}$ ,  $\mathbf{R}^n$ , matrix groups...

**Definition 3.** A topological field  $K$  is a field and a topological space such that addition, multiplication, and inversion on the non-zero element are all continuous maps.

I want to classify all locally compact topological fields. I will assume my fields do not have the discrete topology, since any topological group with the discrete topology is locally compact. My goal will be to build a multiplicative homomorphism of the field to the non-negative real number and show that this is in fact, a valuation on the field.

## 0.2 Haar measure

On any locally compact group  $G$  (written additively here) there exists a Haar measure  $\mu$ , a positive continuous linear functional

$$\mu : C_c(G) \rightarrow \mathbf{R}$$

on the space of continuous real function on  $G$  with compact support, which is left invariant:

$$\mu(f) = \int_G f(x) d\mu(x) = \int_G f(g+x) d\mu(x), \text{ for all } g \in G.$$

This can also be seen in the language of measure theory as a regular  $\sigma$ -additive function on the  $\sigma$ -algebra of Borel  $\mathcal{B} G$ , generated by the open sets  $U$  of  $G$ . I will loosely call  $\text{vol}(U) = \mu(U) = \mu(\chi_U)$  the volume or measure of the set  $U$ . Left invariance means  $\text{vol}(U) = \text{vol}(g+U)$  for all  $g \in G$ . We say a measure  $\mu$  is regular if for all  $U \in \mathcal{B}$ ,

$$\mu(U) = \sup\{\mu(K) : K \subset U, K \text{ is compact}\} = \inf\{\mu(K) : U \subset K, K \text{ is compact}\}.$$

This Haar measure is unique up to a positive constant: Let  $\mu$  and  $\nu$  be two Haar measures on a locally compact group  $G$ , then there exists a positive constant  $c$  such that  $\mu = c\nu$ .

### 0.3 Modulus

Let  $K$  be a locally compact topological field, and let  $\mu$  be a Haar measure on the additive group  $K$ .

Now let  $\alpha$  be an automorphism of  $K$ , and define the measure  $\alpha(\mu)$  by

$$\alpha(\mu)(U) = \mu(\alpha(U)), \quad \text{for all } U \in \mathcal{B}.$$

Then  $\alpha(\mu)$  is invariant and is thus also a Haar measure on  $K$ . Thus we have  $\alpha(\mu) = m(\alpha) \cdot \mu$ , where  $m(\alpha)$  only depends on  $\alpha$ , and is independent of the choice of Haar measure, since Haar measures are proportional. This is called the *modulus* of the automorphism  $\alpha$ . Thus there is a modulus function

$$m : \text{Aut}(K) \rightarrow \mathbf{R}_{>0}.$$

Specifically look at automorphisms of the form  $x \mapsto ax$  for any  $a \in K^*$ . Then I will denote my  $m(a)$  the modulus of this automorphism. Thus by definition,

$$\text{vol}(aU) = m(a) \cdot \text{vol}(U), \quad \text{for all } a \in K^*, U \in \mathcal{B}.$$

Now note that for  $a, b \in K^*$ ,

$$m(ab)\text{vol}(U) = \text{vol}(abU) = m(a)\text{vol}(bU) = m(a)m(b)\text{vol}(U),$$

so  $m(ab) = m(a)m(b)$ , and thus  $m : K^* \rightarrow \mathbf{R}_{>0}$  is a homomorphism. We generally extend the modulus so that  $m : K \rightarrow \mathbf{R}_{\geq 0}$  defining  $m(0) = 0$ .

**Claim 4.** There is a positive constant  $C$  such that

$$m(x + y) \leq C \max(m(x), m(y)), \quad \text{for all } x, y \in K,$$

where

$$C = \sup_{m(z) \leq 1} m(z + 1)$$

is the smallest such constant.

*Proof.* Let  $x, y \in K$ . If  $x = y = 0$  then the statement is trivial. Suppose  $y \neq 0$  and  $m(x) \leq m(y)$ . Let  $z = xy^{-1}$  thus

$$m(z) = m(x)m(y^{-1}) = m(x)m(y)^{-1} \leq 1.$$

Now we have,

$$m(x + y) = m((xy^{-1} + 1)y) = m(z + 1)m(y) = C' \max(m(x), m(y)).$$

Where  $C' \leq C$  and letting  $y = 1$  shows that  $C$  is the smallest such constant.  $\square$

By the properties the modulus  $m$  has exhibited so far,  $m$  is a generalized absolute value.

**Definition 5.** A generalized absolute value on a field  $K$  is a homomorphism  $f : K^* \rightarrow R_{\geq 0}$  with  $f(0) = 0$  defined such that for some  $C > 0$ ,

$$f(x + y) \leq C \max(f(x), f(y)) \quad \text{for all } x, y \in K.$$

If  $C = 1$  this is the non-Archimedean absolute value. An absolute value obeying the triangle inequality,  $|x + y| \leq |x| + |y| \leq 2 \max(|x|, |y|)$ , and has  $C = 2$ , but in fact the converse is also true.

*Proof.* Notice that

$$f(a_1 + a_2 + a_3 + a_4) \leq C \max(f(a_1 + a_2), f(a_3 + a_4)) \leq C^2 \max_{1 \leq i \leq 4} f(a_i),$$

or by induction, for  $n = 2^r$ ,

$$f(a_1 + \dots + a_n) \leq C^r \max f(a_i) = 2^r \max f(a_i) = n \max f(a_i).$$

If  $n$  is not a power of two, fill it up with  $a_i = 0$  for  $n \leq i \leq 2^r$ , then

$$f(a_1 + \dots + a_n) \leq 2n \max f(a_i).$$

Now for any  $x, y \in K$ ,

$$\begin{aligned} f((x + y)^n) &\leq f\left(\sum_{i=0}^n \binom{n}{i} x^i y^{n-i}\right) \\ &\leq 2(n + 1) \sum f\left(\binom{n}{i}\right) f(x)^i f(y)^{i-1} \\ &\leq 2(n + 1) \sum 2 \binom{n}{i} f(x)^i f(y)^{i-1} \\ &= 4(n + 1)(f(x) + f(y))^n. \end{aligned}$$

Thus

$$f(x + y) \leq 4^{1/n} (n + 1)^{1/n} (f(x) + f(y)) \rightarrow f(x) + f(y).$$

$\square$

The square of the regular absolute value has  $C = 4$ ,

$$|x + y|^2 = (|x| + |y|)^2 \leq (2 \max(|x|, |y|))^2 = 4 \max(|x|^2, |y|^2).$$

Thus any generalized absolute value with  $C \leq 2$  makes a field into a metric space. So some power of a generalized absolute value will be a metric on a field. Thus our locally compact field  $K$  is a metric space. And the topology generated by  $m$  is the same as the topology on  $K$ .

Now I will bring in a result from the theory of topological groups.

**Claim 6.** Let  $H$  be a locally compact subgroup of a Hausdorff topological group  $G$ . Then  $H$  is closed.

Applying this to our scenario, our locally compact field  $K$  is closed in its completion (both are metric spaces by the comments above) Thus our locally compact field  $K$  is also complete.

Now we can begin to classify by the value of the constant  $C$ .

We also need to know:

**Claim 7.** Any discrete subfield of a non discrete locally compact field of characteristic 0 is finite.

*Proof.* This involves first showing that the modulus  $m$  is continuous, then showing that closed balls defined by  $m$  are compact. Then you have  $\{a^n\} \rightarrow 0$  in  $K$  iff  $m(a) < 1$ .

Now let  $F$  be a discrete subfield. Choose  $a \in F$  with  $m(a) > 1$ , then  $m(a^{-n}) = m(a)^{-n} \rightarrow 0$ , thus  $a^{-n} \rightarrow 0$  blah blah...  $\square$

**Case 1:** Suppose  $C = 1$ . Then  $K$  has a non-Archimedean absolute value. Since  $K$  has characteristic 0 and is not discrete by hypothesis,  $\mathbf{Q} \subset K$  is not discrete, and so the absolute value induces a non-trivial non-Archimedean absolute value onto  $\mathbf{Q}$ , so by Ostrowski's Theorem this is equivalent to a  $p$ -adic absolute value. Since  $K$  is complete, it contains the completion of  $\mathbf{Q}$  under this absolute value, i.e.  $\mathbf{Q}_p \subset K$ . Now with some more work one can show that a locally compact normed space over  $\mathbf{Q}_p$  is finite dimensional.

**Case 2:** Suppose  $C > 1$ . Thus once more,  $K$  induces a non-trivial absolute value onto  $\mathbf{Q}$ , which is not non-Archimedean. So by Ostrowski's Theorem this is equivalent to the regular absolute value on  $\mathbf{Q}$  and again, since  $K$  is complete,  $K$  contains  $\mathbf{R}$ . So  $K$  is a real vector space over  $\mathbf{R}$ , one can show the only two such possibilities are  $\mathbf{R}$  and  $\mathbf{C}$ .